

UTILITY PATENT APPLICATION TRANSMITTAL	<i>Title of Invention</i>	ARBITRAGE FUND PROGRAM
	<i>Named Inventor(s)</i>	GEORGE L. STROBEL II
	<i>Attorney Docket</i>	M. GUION BOND
	<i>Express Mail Label No.</i>	EV 330778083US

031088 U.S.PTO
10/696985
10/3003

**COMMISSIONER FOR PATENTS
MAIL STOP PATENT APPLICATION
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450**

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney by assignee	
3. <input checked="" type="checkbox"/> Specification, Claims and Abstract: Total Pages <u>37</u>	11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	
4. <input checked="" type="checkbox"/> Drawings Total Sheets <u>5</u>	12. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
5. Oath or Declaration Total Pages <u>3</u>	13. <input type="checkbox"/> Preliminary Amendment	
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i>	15. <input type="checkbox"/> Certified Copy of Priority Document(s)	
(i) <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	16. <input checked="" type="checkbox"/> Assignee: <u>The Perigee Group</u> <u>100 Peabody Place, Suite 1325</u> <u>Memphis, TN 38103</u>	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.	19. <input checked="" type="checkbox"/> Other: <u>Early Publication Request Under 37 C.F.R. § 1.219(a) and accompanying fee.</u>	
7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>		
8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>		
a. <input type="checkbox"/> Computer Readable Form (CRF)		
b. Specification Sequence Listing on:		
i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or		
ii. <input type="checkbox"/> paper		
c. <input type="checkbox"/> Statement verifying identity of above copies		
17. If a CONTINUING APPLICATION , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u>10/628,196 filed 7/28/03</u> Prior application information: Examiner: <u>Unassigned</u> Group/Art Unit: <u>Unassigned</u>		
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.		
18. CORRESPONDENCE ADDRESS:	By: <u>Michael S. Pavento</u> Reg. No. <u>42,985</u> Date: October 30, 2003 Telephone: 404.572.4600 Facsimile: 404.572.5145 Customer No. 20786	
Michael S. Pavento, Esq. KING & SPALDING LLP 45 th Floor 191 Peachtree Street, N.E. Atlanta, Georgia 30303		

FEE TRANSMITTAL

Attorney Docket No. 10051.105002 CIP
Express Mail Label No. EV330778083US

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **GEORGE L. STROBEL II AND M. GUION BOND**
Filing Date: **HEREWITH**
Title: **ARBITRAGE FUND PROGRAM**

The filing fee is calculated as shown below:

1. FILING FEE:

FOR:	SMALL ENTITY	
FEE	Fee Paid	Fee
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$385	\$385.00
<input type="checkbox"/> DESIGN FILING FEE	\$170	\$340
<input type="checkbox"/> PLANT FILING FEE	\$265	\$530
<input type="checkbox"/> REISSUE FILING FEE	\$385	\$770
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80	\$160
SUBTOTAL (1)	\$385	\$xxx

2. CLAIMS:

FOR:	NO. FILED	NO. EXTRA	RATE	FEES	RATE	FEES
TOTAL CLAIMS	18 - 20 =	0	x 9 =	0	x 18 =	
INDEP. CLAIMS	2 - 3 =	0	x 43 =	0	x 86 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+145 =	0	+290 =	
SUBTOTAL (2)						\$xxx

3. ADDITIONAL FEES:

FOR:	SMALL ENTITY	
FEE	Fee Paid	Fee
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65	\$130
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130	\$130
<input checked="" type="checkbox"/> OTHER Early Publication Fee	\$300	\$300
SUBTOTAL (3)	\$300	\$xxx

TOTAL FILING FEES: \$685.00

A check is enclosed for the total amount: **\$685.00**

Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0980.

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By: 
Michael S. Parento, Attorney for Applicant
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Date: 10/30/03

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : **Not Yet Assigned**
Applicant : **George L. Strobel, II and M. Buion Bond**
Filed : **Herewith (October 27, 2003)**
Title : **Arbitrage Fund Program**

Docket No. : **10051.105002**
Customer No. : **20786**

EARLY PUBLICATION REQUEST UNDER 37 C.F.R. § 1.219(a)

Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

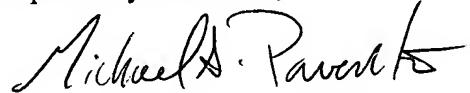
The Applicants named in the patent application identified above hereby request early publication under 37 C.F.R. § 1.219(a). Applicants request that the U.S. Patent and Trademark Office publish the application as soon as possible. This request is accompanied by a check for \$300 in payment of the fee set forth in 37 C.F.R. § 1.18(d).

11/03/2003 WABRHAM1 00000061 10696985

02 FC:1504

300.00 DP

Respectfully submitted,

 10/30/03

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